

Event Vetting

Government employees, particularly high-level officials like United States Attorneys, are subject to ethical restrictions governing the types of events they may attend. Some restrictions relate to benefits, including luncheons, dinners, awards, and tokens of appreciation they may accept. Other restrictions relate, among other things, to participation in events that are sponsored by persons who do business with or lobby the Government; that have a fundraising component; where it may appear that official attendance is being used to promote the event or the sponsor; or where elected officials may be in attendance. To assist us in vetting compliance with government ethical restrictions, we would appreciate it if you could provide the following information. If you do not have specific information for each response, please provide such general or descriptive information as you currently have. Please do not hesitate to call the Office's Government Ethics Advisor, (b)(6), (b)(7)c , at (b)(6), (b)(7)c or e-mail him at (b)(6), (b)(7)c to discuss this.

1. Name, date and time, and location of event.
NAPIPA Second Annual Scholarship Dinner, "*The American Dream*"
April 11, 2014
5:30 to 9:30 P.M.
San Francisco Airport Marriott Waterfront, 1800 Old Bayshore Highway, Burlingame, CA 94010.
2. Format of event (e.g., cocktails; presentations; speeches; and/or meal).
Cocktail Hour from 5:30 to 6:30 P.M.
Dinner and Awards Presentation from 6:30 to 9:30 P.M.
3. Estimated cost per attendee of event.
\$125.00
4. Are any attendees being charged to attend the event?
Yes.
 - a. If so, who (in general) is being offered free attendance, and who is expected to pay?
Speakers, award recipients and distinguished guest will be offered free attendance. Everyone one else is expected to pay.
5. Name of entity extending the invitation and link to web site (if any).
The National Asian Pacific Islander Prosecutors Association (NAPIPA). Our website is: www.napipa.org
 - a. Does the entity extending the invitation engage in lobbying?
No.

6. Sponsor of event if different from above.

N/A

- a. Does the sponsor of the event engage in lobbying?

7. Names of additional entities that will be recognized for sponsorship or support of the event.

Various law firms, Law Enforcement Associations, Business etc. will be acknowledged in our dinner program and slide show.

8. How many persons are expected to attend?

200 – 280

9. Demographics of expected attendees (*e.g.*, lawyers; law enforcement; business leaders; students; etc.)

Prosecutors, defense attorneys, judges, elected officials, law students, community leaders and law enforcement officers.

10. Are any elected officials expected to attend and, if so, what is their expected role?

Yes. Elected officials may be speakers and/or award presenters and/or recipients and attendees.

11. Is there a fundraising component to the event? (*e.g.*, program advertisements; dinner ticket price; silent auction)? If so, please describe.¹

Yes. The annual scholarship banquet is NAPIPA's main source of revenue funding our scholarships and the organization's operating costs. We plan on generating revenue through ticket sales in excess of the cost of the event along with advertisements in our program and slide show. We may also have an auction or raffle at the event. We understand the U.S. Attorney will not have a role in any of these fund raising aspects to our scholarship dinner.

¹ For events that involve any fundraising leading up to or during the event: The United States Attorney may not participate in any active fundraising activity with respect to the event. Nor may the U.S. Attorney allow his name, position, or title to be used for any fundraising activity for your organization. If applicable, you may list his name as an awardee and/or speaker on the program and on invitations issued not more than 30 days prior to the event, along with other information about the program. He may not be listed on any "save the date" notices. Failure to comply with these limitations may preclude the U.S. Attorney from appearing at the event. If the U.S. Attorney is giving an official speech, he may be seated and have photos taken as appropriate for a speaker at the event, but you may not use his photo in connection with any other fundraising efforts.

12. Expected role of U.S. Attorney (*e.g.*, simple attendance; remarks; speech)

We expect the U.S. Attorney to deliver the keynote address at our scholarship banquet. We hope he can speak to the importance of ethics and diversity in public service, namely as prosecutors to the attendees at the event.

13. If the U.S. Attorney is being honored:

No

- a. What are the criteria your organization applies to determine who should be honored for this specific award?

NAPIPA has a separate committee for each of the awards it presents.

- b. What is the internal process by which your organization selects potential honorees?

We has different processes for each award. Our scholarship recipients submit essays and letters of recommendation to the scholarship committee. Member nominations are submitted to the prosecutor of the year committee. The executive board determines the honorary member/advisory board recipients.

- c. For what specific public service or achievement do you wish to honor the U.S. Attorney?

N/A

- d. Did any of the accomplishments of the U.S. Attorney which you wish to honor directly affect the organization or its members? (Describe.)

N/A

- e. Do any of the board members or host committee or senior staff have any matters pending before or involving the United States Attorney's Office for the Southern District of New York?

NO

- f. Please provide a description and the value of any award item (if the award item is a plaque, certificate or trophy of little intrinsic value, you may so indicate).

N/A

- g. Who else is expected to be honored at the event?

Scholarship recipients (law students), Prosecutor of the Year recipient and Honorary members.

14. If the U.S. Attorney is making a presentation, who else is expected to be making a presentation/be on a panel?

N/A

a. Will the U.S. Attorney be presented with a token or memento in appreciation for his presentation?

- i. ☐ Nothing
- ii. ☐ Plaque or other memento of little intrinsic value
- iii. ☐ Other. Please describe, including its value.

A very nicely worded thank you letter will be provided to the U.S. Attorney.

15. Describe any special seating arrangements for U.S. Attorney, *e.g.*, dais, general seating (depending on the nature of the event, the U.S. Attorney may or may not be able to accept "dais" seating.)

The U.S. Attorney will be seated at the head table with other distinguished guest since he is the keynote speaker.

16. Promotion of the event: please provide a copy of or link to the invitation/promotional material for the event (or if not available, for the prior year's event).

We do not have the promotional materials for this year's event yet. I will attach last year's promotional material for the time being.

Additionally, when this year's promotional material is available, I will attach and send.